

# Stocks and Shares ISA Transfer Authority Self-Directed

About you					
Title					
First name					
Middle name(s)					
Surname					
Date of birth		/ 14	M / V	V V	V
National Insurance number	D D	/ M	M / Y	Y	Y
Your username 1					
roal addition of					
Stocks and Shares ISA transfer de	tails				
Current provider					
Current plan number (enter the account number from your existing provider)					
Transfer method	Cash		In-specie (unit transfer)		
Transfer type*	Full		Partial**		
Estimated plan transfer value	£				
<ul> <li>PLEASE NOTE: Some providers (i.e full and partial transfers, please not your existing provider. Please note make all these investments availab</li> <li>** For partial transfers, please completransfer across.</li> </ul>	te that we will that as part of le on our syste	only be the trar m.	able to accept wasfer process, it	vhat is report may not be p	ed to us by possible to
IMPORTANT INFORMATION					
Investments which are not available existing provider. Should the share we may still be able to accept the tindicate in one of the boxes below transfer option in these circumstant that you wish to hold in your Stock online to confirm that they are suppentire holdings of any one transfer are available on our platform.	class of you ransfer and v how you wisl aces you will s and Shares ported by ou	r invest will con n to dea need to ISA. Y	tment not be a tact you to out al with this. If y o specify the a ou can search e. Please note	vailable on cline your op ou have spe dditional inv your currer , you can oi	our platform, otions. Please ecified a cash vestments nt holdings nly transfer
How do you want us to deal with inv	estments that	are not	available on ou	ır system?	
Sell and transfer as cash			ting provider		
Sell and transfer as cash but	contact me fir	st on th	e number belov	v before pro	ceeding:
Preferred contact number:					

1 Your online username can be found in the top right hand corner of your online portal screen i.e. ASmith123

#### Charges

Close Brothers Asset
Management will not charge you
for making a transfer. A charge
may be incurred upon the
transfer of investments from
another provider.

Close Brothers Asset
Management will charge a
platform fee for investing in
funds. This will be calculated at
the highest possible rate,
excluding any promotional
discount for illustrative purposes.
Charges are listed within our Key
Features and Charges
document.

# Transferring existing investments

- Please complete this form to transfer investments from an existing provider. If you are transferring investments from multiple providers, separate authorisation documents will be required for each one respectively.
- 2. Return to Close Brothers Asset Management see overleaf for return details.
- 3. Upon receipt of the completed form, we will submit the request to your current provider. Cash transfers typically take two weeks to action, while the transfer of stocks in their existing form can take up to six weeks, but are usually completed sooner.
- 4. We will add your transferred investments or cash to your account, following which you'll be able to view these online.

You will be able to see the progress of your transfer within your online Documents library.

#### Cancellation

Please contact us if you wish to cancel your transfer after submitting this application.

Δ	Transferring	Stock 2
А.	mansieming	SIUCK Z

Stock name	Sedol/ISIN	Approximate number of units	Approximate value
		Total	

# Important information

Please note this is not a flexible ISA. Withdrawals will not be able to be replaced and will count towards your allowance.

Close Brothers Asset Management has given no advice regarding the suitability of this product for your needs. By reading and accepting the information and declaration below you confirm acceptance that you are solely responsible for your investment decisions, for the suitability of the transfer, for the product you have selected and that you understand the risks to your capital that are involved.

This is our standard client agreement which aligns with the Close Brothers Asset Management Terms and Conditions. This transaction will be processed and this ISA managed in accordance with the Close Brothers Asset Management Terms and Conditions. These can be viewed at **closebrothersam.com/legal-centre/terms-and-conditions**. For your own benefit and protection you should read these terms carefully before signing them. Please speak to your adviser if you have any questions regarding these.

If you are unsure about the suitability of this product or its underlying investments for your needs, or have any doubts about buying or selling shares, or any investment matters, we recommend you seek professional financial advice. Please contact us for if you would like to speak to a financial adviser.

# **Declaration**

#### Cash transfers

I authorise Close Brothers Asset Management or its nominees:

- To hold my cash subscription, investments, interest, dividends and any other rights or proceeds in respect of the investments and cash within this ISA
- To make on my behalf any claims to tax relief relating to investments within this ISA
- To cease contributions to my monthly savings plans with immediate effect if I have chosen to have my current tax year ISA transferred
- To forward any dividends received following the closure of my account to Close Brothers Asset Management.

# Re-registering assets

By completing this form and signing below, I authorise the ISA Plan Manager named above to:

- Provide Close Brothers Asset Management with any information they require in respect of the Individual Savings Account(s) (including pre 1999 ISAs) managed by them on my behalf. The information Close Brothers Asset Management require will include (but is not limited to), transaction and dividend histories and details of the current portfolio and its value
- Re-register all eligible ISA holdings within my current tax year ISA
- To retain ineligible ISA holdings held within current and previous tax years and accept Close Brothers Asset Management's authority to sell the holdings and transfer the proceeds as cash
- Cease collections of my monthly savings plans with immediate effect, if I have chosen to have my current tax year ISA transferred

I declare that the information in this application is correct to the best of my knowledge and belief.

I have read and agree to the above declaration and any terms and conditions, key features and charges schedules that have been shared with me. I have also read and agree to any Simplified Prospectuses and/or Key Investor Information Documents (KIIDs) that have been made available to me on the online application page during this transfer application process and agree to be bound by their terms and conditions.

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2 This section only needs to be completed for partial transfers.

I am aware that these documents are available in my online Document Library and on the Close Brothers Asset Management website should I wish to review them in future.

I wish to proceed and submit this transfer application and any associated transactions.

## Declaration

## I authorise Close Asset Management Limited:

- To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
- To make on my behalf any claims to relief and tax in respect of ISA investments;
- On my request to transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights
  or other proceeds in respect of such investments or any cash.
- I apply to Close Asset Management Limited to operate the ISA. I confirm that I have read, understood and agreed to the Terms and Conditions of which this application forms part and I request that any subscription be invested as detailed in this application, or as directed from time to time.
- I declare that the information in this application is correct to the best of my knowledge and belief.
- This service is provided in accordance with our Terms and Conditions that we intend to rely on. For your own benefit and protection, you should read these terms carefully before clicking the accept and submit button. If you do not understand any point please ask for further information.
- In order to confirm these declarations and authorisations, to accept our terms, and to clarify that certain powers are being conferred on the company please now click the submit button below.

Signature - Account Holder			
Print name (BLOCK CAPITALS)			
Date	D	D / M M / Y Y	Υ

To return this form, please scan and email it from your registered email address to:
 investorsupport@closebrothers.com
 Or you can post it using our FREEPOST address:
 'Freepost CBAM Online Investor Support'