

About you

Title

First name

Middle name(s)

Surname

Date of birth / /

National Insurance number

Your username **1**

1. Investment Account transfer details **2**

Transfer destination

Which of your Close Brothers accounts would you like to transfer in to? New Existing

If existing, please provide account name and reference number as shown online (i.e. AnneSmith IA - SMI001)

Current provider

Current plan number (enter the account number from your existing provider)

Transfer type* Full Partial**

Estimated plan transfer value

*** PLEASE NOTE: Some providers (i.e. Fidelity Funds Network) may only permit transfers in full. For full and partial transfers, please note that we will only be able to accept what is reported to us by your existing provider. Please note that as part of the transfer process, it may not be possible to make all these investments available on our system.**

**** For partial transfers, please complete the table on the next page for the investments you wish to transfer across.**

IMPORTANT INFORMATION

We are only able to accept unit (i.e. In-specie) transfers into a Close Investment Account. Investments which are not available will either be sold and transferred as cash or left with the existing provider. Please indicate in one of the boxes below how you wish to deal with this. You can search your current holdings online to confirm that they are supported by our service. Please note, you can only transfer entire holdings of any one transferring stock. It is your responsibility to check if your holdings are available on our platform.

How do you want us to deal with investments that are not available on our system?

Sell and transfer as cash Leave with existing provider

Sell and transfer as cash but contact me first on the number below before proceeding:

Preferred contact number:

Charges

Close Brothers Asset Management will not charge you for making a transfer. However, where you are transferring investments from another provider, they may levy a charge for this.

Where you are investing in funds a platform fee is typically payable to Close Brothers Asset Management and will be calculated at the highest possible rate, excluding any promotional discount for illustrative purposes. Details of our charges can be found in our Key Features and Charges document.

What happens now?

- Complete this transfer authority form (if you intend to transfer in multiple investments from several different providers, you will need to complete a separate form for each transfer) and post to us using our FREEPOST address: **Freepost CBAM ONLINE INVESTOR SUPPORT**
- Upon receipt of your form we will submit the request to your current provider. This will be done within 24 hours of receipt of your form providing everything is in order.
- Your current provider will then process your request. If the transfer is being made in cash it will probably take around two weeks to complete. If the transfer is being made in-specie it could take upto six weeks to complete. However, in many cases the actual transfer times are much faster.
- We will add your transferred investments or cash to your account. When completed, you'll be able to view these investments online.

We will keep you up to date with the progress of your transfer with notifications in your online Documents library.

1 Your online username can be found in the top right hand corner of your online portal screen i.e. ASmith123.

2 Important: Please note that by using this form we are only able to accept unit transfers ('in specie' transfers) into a Close Investment Account.

Any cash held within this Investment account will be transferred to Close Brothers regardless of the estimated amount entered here.

A. Transferring Stock 3

3 This section only needs to be completed for partial transfers.

Stock name	Sedol/ISIN	Approximate number of units	Approximate value
Total			

2. Important information

Close Brothers has given no advice as to the suitability of this transfer for your needs. By reading and accepting the information and declaration below you confirm acceptance that you are solely responsible for your investment decisions, for the suitability of the transfer, for the product you have selected and that you understand the risks to your capital that are involved. If you are unsure about the suitability of the product/investment for your needs, or if you are in doubt about buying or selling shares, or anything else regarding investing, we recommend you seek professional financial advice. If you would like to speak to one of our Financial Advisers please call us to arrange a no obligation consultation.

This service is provided in accordance with our Terms & Conditions that we intend to rely on which are available at all times in the Literature Library. This transaction will be processed in line with those terms and conditions. For your own benefit and protection you should ensure you read and fully understand those terms before completing this transaction. If you do not understand any point please call us to request further information.

Declaration

By completing this transfer application, I confirm that the re-registration of the accounts and investments I have specified during this transfer application process will not result in a change of beneficial ownership from (or among) the Account Holder(s) and hereby authorise my current provider(s), where relevant, to:

- Provide Close Brothers Asset Management, with any information they require in respect of the investment accounts managed by them on my/our behalf. The information required will include (but is not limited to), transaction and dividend histories and details of your current investments and their values;
- Cease collection of any regular savings with immediate effect; and
- Forward any dividends received following the closure of my account to Close Brothers Asset Management.

Declaration (continued)

I have read and understood the above Declaration, and any Terms and Conditions and Key Features and Charges Schedule made available to me, and agree to be bound by their terms and conditions; and

I have read and understood any Simplified Prospectuses and/or Key Investor Information Documents (KIIDs) made available to me during this transfer application process and agree to be bound by their terms and conditions; and

I wish to proceed and submit this transfer application and any associated transactions.

Signature - Account Holder

Print name (BLOCK CAPITALS)

Date

**Please return all forms to our FREEPOST address:
Freepost CBAM ONLINE INVESTOR SUPPORT**