

About you

Title

First name

Middle name(s)

Surname

Date of birth / /

National Insurance number

Your username ¹

Stocks and Shares ISA transfer details

Current provider

Current plan number
(enter the account number from your existing provider)

Transfer method Cash In-specie (unit transfer)

Transfer type* Full Partial**

Estimated plan transfer value

* **PLEASE NOTE:** Some providers (i.e. Fidelity Funds Network) may only permit transfers in full. For full and partial transfers, please note that we will only be able to accept what is reported to us by your existing provider. Please note that as part of the transfer process, it may not be possible to make all these investments available on our system.

** For partial transfers, please complete the table on the next page for the investments you wish to transfer across.

IMPORTANT INFORMATION

Investments which are not available will either be sold and transferred as cash or left with the existing provider. Please indicate in one of the boxes below how you wish to deal with this. If you have specified a cash transfer option in these circumstances you will need to specify the additional investments that you wish to hold in your Stocks and Shares ISA. You can search your current holdings online to confirm that they are supported by our service. Please note, you can only transfer entire holdings of any one transferring stock. It is your responsibility to check if your holdings are available on our platform.

How do you want us to deal with investments that are not available on our system?

Sell and transfer as cash Leave with existing provider

Sell and transfer as cash but contact me first on the number below before proceeding:

Preferred contact number:

Charges

Close Brothers Asset Management will not charge you for making a transfer. However, where you are transferring investments from another provider, they may levy a charge for this.

Where you are investing in funds a platform fee is typically payable to Close Brothers Asset Management and will be calculated at the highest possible rate, excluding any promotional discount for illustrative purposes. Details of our charges can be found in our Key Features and Charges document.

What happens now?

1. Complete this transfer authority form (if you intend to transfer in multiple investments from several different providers, you will need to complete a separate form for each transfer) and post to us using our FREEPOST address: **Freepost CBAM ONLINE INVESTOR SUPPORT**
2. Upon receipt of your form we will submit the request to your current provider. This will be done within 24 hours of receipt of your form providing everything is in order.
3. Your current provider will then process your request. If the transfer is being made in cash it will probably take around two weeks to complete. If the transfer is being made in-specie it could take upto six weeks to complete. However, in many cases the actual transfer times are much faster.
4. We will add your transferred investments or cash to your account. When completed, you'll be able to view these investments online.

We will keep you up to date with the progress of your transfer with notifications in your online Documents library.

Cancellation

Should you wish to cancel your transfer after submitting this application, please contact us.

- ¹ Your online username can be found in the top right hand corner of your online portal screen i.e. ASmith123

| Stock name | Sedol/ISIN | Approximate number of units | Approximate value |
|------------|------------|-----------------------------|-------------------|
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| Total | | | |

Important information

Please note this is not a flexible ISA. Withdrawals will not be able to be replaced and will count towards your allowance.

Close Brothers has given no advice as to the suitability of this transfer for your needs. By reading and accepting the information and declaration below you confirm acceptance that you are solely responsible for your investment decisions, for the suitability of the transfer, for the product you have selected and that you understand the risks to your capital that are involved. If you are unsure about the suitability of the product/investment for your needs, or if you are in doubt about buying or selling shares, or anything else regarding investing, we recommend you seek professional financial advice. If you would like to speak to one of our Financial Advisers please contact us to arrange a no obligation consultation.

This service is provided in accordance with our Terms & Conditions that we intend to rely on which are available at all times in the Literature Library. This transaction will be processed in line with those terms and conditions. For your own benefit and protection you should ensure you read and fully understand those terms before completing this transaction. If you do not understand any point please call us to request further information.

Declaration

If transferring in cash

I authorise Close Brothers Asset Management or its nominees:

- To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash: and
- To make on my behalf any claims to relief from tax in respect of ISA investments.
- Cease contributions of my monthly savings plans with immediate effect if I have chosen to have my current tax year ISA transferred.
- Forward any dividends received following the closure of my account to Close Brothers Asset Management.

If re-registering assets

By completing this form and signing below, I authorise the ISA Plan Manager named above to:

- Provide Close Brothers Asset Management with any information they require in respect of the Individual Savings Account(s) (including pre 1999 ISAs) managed by them on my behalf. The information Close Brothers Asset Management require will include (but is not limited to), transaction and dividend histories and details of the current portfolio and its value.
- Re-register all my current tax year ISA. (Note: to re-register a current tax year ISA all the holdings within that ISA must be eligible for re-registration).
- Re-register all my eligible ISA holdings and to retain ineligible ISA holdings held within current and previous tax years.
- For any ineligible ISA holdings please accept Close Brothers Asset Management's authority to sell the holdings and transfer the proceeds as cash.
- Cease collections of my monthly savings plans with immediate effect, if I have chosen to have my current tax year ISA transferred.

I authorise Close Asset Management Limited:

- To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
- To make on my behalf any claims to relief and tax in respect of ISA investments;
- On my request to transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments or any cash.
- I apply to Close Asset Management Limited to operate the ISA. I confirm that I have read, understood and agreed to the Terms and Conditions of which this application forms part and I request that any subscription be invested as detailed in this application, or as directed from time to time.
- I declare that the information in this application is correct to the best of my knowledge and belief.
- This service is provided in accordance with our Terms and Conditions that we intend to rely on. For your own benefit and protection, you should read these terms carefully before clicking the accept and submit button. If you do not understand any point please ask for further information.
- In order to confirm these declarations and authorisations, to accept our terms, and to clarify that certain powers are being conferred on the company please now click the submit button below.

I have read and understood the above Declaration, and any Terms and Conditions and Key Features and Charges Schedule made available to me, and agree to be bound by their terms and conditions; and

I have read and understood any Simplified Prospectuses and/or Key Investor Information Documents (KIIDs) made available to me on the online application page during this transfer application process and agree to be bound by their terms and conditions; and

I wish to proceed and submit this transfer application and any associated transactions.

Signature - Account Holder

Print name (BLOCK CAPITALS)

Date

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**Please return all forms to our FREEPOST address:
Freepost CBAM ONLINE INVESTOR SUPPORT**